



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

on November 16, 2001

McCutchen, Doyle, Brown & Enersen, LLP

By Deborah Cameron

PATENT  
Attorney Docket No. 23701-7002

COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Toy, et al.

Application No.: 09/895,842

Filed: June 29, 2001

For: METHOD AND APPARATUS FOR  
ENCRYPTED ELECTRONIC FILE  
ACCESS CONTROL

Examiner: not assigned

Art Unit: 2131

TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF MISSING  
PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date Granted dated August 17, 2001, enclosed are the following to be made of record in the above-identified application:

☒ **A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 is proper and desired.**

- 1) Executed Declaration
- 2) Copy of Notice of Missing Parts

Please charge Deposit Account No. 50-1193 for the following fees:

Small entity: (a) Filing Fee (§ 1.16(a) (small Entity) \$ 370.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

21 - 20 = 1 x \$ 9.00 = \$ 9.00

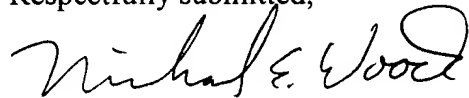
6 - 3 = 3 x \$42.00= \$126.00

(c) Missing Parts Surcharge \$ 65.00

**TOTAL FEES TO BE CHARGED \$ 570.00**

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. **50-1193**. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Michael E. Woods  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,842	06/29/2001	Edward J. Toy	23701-7002



CONFIRMATION NO. 4986

## FORMALITIES LETTER



\*OC00000006438826\*

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San Francisco, CA 94111

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$258.
  - \$18 for 1 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1098.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

01/22/2002 VTRUCNG1 00000129 501193 09805002 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	370.00 CH
02 FC:202	126.00 CH
03 FC:203	9.00 CH
04 FC:205	65.00 CH